
Hyperproperties: Verification of Proofs

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Abstract

This paper formalizes some proofs by Clarkson and Schneider about hyperproperties. The proofs are mechanically verified using the proof assistant Isabelle.

1 Introduction

Properties are sets of execution traces, and hyperproperties are sets of properties. This paper formalizes Clarkson and Schneider’s theory of hyperproperties [3] using Isabelle/HOL [4]. We present human-readable, mechanically-verified proofs of the propositions and theorems in [3]—except those related to topology, which we leave for future work. The proofs given here are formal analogues of informal proofs that were given in a previous technical report [2]. Thus, in addition to verifying the propositions and theorems, we have also verified the original proofs themselves.

This document was produced from L^AT_EX output, which was generated from Isabelle theory files. Those theory files are available for download from the same URL that hosts this technical report [1]. The numbering of propositions and theorems in this document follows the numbering in [2, 3].

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```

theory HyperDefs
imports Main LList2 LaTeXsugar OptionalSugar
begin

```

```

notation  $\{\}$  ( $\emptyset$ )

```

2 Definitions

```

typedecl state
— An abstract notion of a state.

```

```

types trace = state llist
— Traces are (possibly infinite) lists of states.

```

```

consts States :: state set ( $\Sigma$ )
— An abstract set of states.

```

```

consts BottomState :: state
syntax (latex)
BottomState :: state ( $\perp$ )

```

```

consts DummyState :: state

```

We assume the existence of one *DummyState*, which is used by Theorem 3 and Proposition 3.

```

axioms DummyState-is-State: DummyState  $\in \Sigma$ 

```

```

constdefs
psi-fin :: trace set ( $\Psi_{\text{fin}}$ )
 $\Psi_{\text{fin}} \triangleq \Sigma^*$ 
psi-inf :: trace set ( $\Psi_{\text{inf}}$ )
 $\Psi_{\text{inf}} \triangleq \Sigma^\omega$ 
 $\Psi$  :: trace set
 $\Psi \triangleq \Psi_{\text{fin}} \cup \Psi_{\text{inf}}$ 

```

```

types
property = trace set
hyperproperty = property set

```

```

constdefs
Prop :: property set

```

$Prop \triangleq Pow \Psi_{\text{inf}}$

$HP :: \text{hyperproperty set}$

$HP \triangleq Pow Prop$

consts

$\text{property-satisfies} :: \text{trace set} \Rightarrow \text{property} \Rightarrow \text{bool} ((- \models -) [80,80] 80)$

$\text{hyperproperty-satisfies} :: \text{trace set} \Rightarrow \text{hyperproperty} \Rightarrow \text{bool} ((- \models -) [80,80] 80)$

defs (overloaded)

$\text{property-satisfies-def: } ts \models p \triangleq ts \subseteq p$

$\text{hyperproperty-satisfies-def: } ts \models h \triangleq ts \in h$

constdefs

$\text{property-lift} :: \text{property} \Rightarrow \text{hyperproperty} ([[-]] 80)$

$\text{property-lift } p \triangleq Pow p$

notation $\text{property-lift } ([-] 80)$

constdefs

$\text{trace-set-prefix} :: \text{trace set} \Rightarrow \text{trace set} \Rightarrow \text{bool} (\text{infix} \leq 80)$

$\text{trace-set-prefix-def:}$

$T \leq T' \triangleq \forall t. t \in T \longrightarrow (\exists t'. t' \in T' \wedge t \leq t')$

$Obs :: \text{trace set set}$

$Obs \triangleq \{ts. ts \subseteq \Psi_{\text{fin}} \wedge \text{finite } ts\}$

$sp :: \text{property} \Rightarrow \text{bool}$

$sp P \triangleq P \in Prop \wedge$

$(\forall t \in \Psi_{\text{inf}}. t \notin P \longrightarrow$
 $(\exists m \in \Psi_{\text{fin}}. m \leq t \wedge$
 $(\forall t' \in \Psi_{\text{inf}}. m \leq t' \longrightarrow t' \notin P)))$

$SP :: \text{property set}$

$SP \triangleq \{P. sp P\}$

$\text{false-p} :: \text{property}$

$\text{false-p} \triangleq \emptyset$

$shp :: \text{hyperproperty} \Rightarrow \text{bool}$

$shp H \triangleq H \in HP \wedge$

$(\forall T \in Prop. T \notin H \longrightarrow$
 $(\exists M \in Obs. M \leq T \wedge$
 $(\forall T' \in Prop. M \leq T' \longrightarrow T' \notin H)))$

$SHP :: \text{hyperproperty set}$

$SHP \triangleq \{hp. shp hp\}$

$\text{false-hp} :: \text{hyperproperty}$

$false\text{-}hp \triangleq [false\text{-}p]$

$lp :: property \Rightarrow bool$
 $lp\ L \triangleq L \in Prop \wedge (\forall\ t \in \Psi_{fin}. (\exists\ t' \in \Psi_{inf}. t \leq t' \wedge t' \in L))$
 $LP :: property\ set$
 $LP \triangleq \{P. lp\ P\}$
 $lhp :: hyperproperty \Rightarrow bool$
 $lhp\ H \triangleq H \in HP \wedge (\forall\ T \in Obs. (\exists\ T' \in Prop. T \leq T' \wedge T' \in H))$
 $LHP :: hyperproperty\ set$
 $LHP \triangleq \{hp . lhp\ hp\}$

 $true\text{-}Prop :: property$
 $true\text{-}Prop \triangleq \Psi_{inf}$
 $true\text{-}HP :: hyperproperty$
 $true\text{-}HP \triangleq Prop$

end

theory *Hyper*
 imports *HyperDefs*
 begin

3 Proposition 1

3.1 Lemmas

lemma *property-lifts-into-hyperproperty*:
 assumes $P\text{-}Prop: P \in Prop$
 shows $[P] \in HP$
 using $P\text{-}Prop$
 unfolding *property-lift-def Prop-def HP-def* by blast

3.2 Proposition

theorem *proposition-1-oif*:
 assumes $S\text{-}Prop: S \in Prop$ and $S\text{-}SP: S \in SP$
 shows $[S] \in SHP$
 proof –
 have $lift\text{-}S\text{-}HP: [S] \in HP$
 using $S\text{-}Prop$ *property-lifts-into-hyperproperty* by blast
 {

```

fix T :: property
assume T-st: T ∈ Prop T ∉ [S]
from ⟨T ∉ [S]⟩ have ¬(T ⊆ S) by (simp add: property-lift-def)
then obtain t where t-st: t ∈ T t ∉ S by blast

have ∃ m. m ∈ Ψfin ∧ m ≤ t ∧ (∀ t' ∈ Ψinf. m ≤ t' ⟶ t' ∉ S)
proof -
  from t-st and T-st have t-psi-inf: t ∈ Ψinf
  unfolding Prop-def by blast
  with S-Prop and S-SP and T-st and t-st
  show ?thesis unfolding SP-def Prop-def sp-def by blast
qed
then obtain m where m-st: m ∈ Ψfin m ≤ t ∀ t'. t' ∈ Ψinf ∧ m ≤ t' ⟶
t' ∉ S
  by blast

let ?M = {m}
from m-st and t-st have M-prf-T: ?M ≤ T
  unfolding trace-set-prefix-def by blast
with m-st and t-st have M-Obs: ?M ∈ Obs
  unfolding Obs-def by blast

{
  fix T' :: property
  assume T'-st: T' ∈ Prop ?M ≤ T'

  then have ∃ t' ∈ T'. m ≤ t'
    by (simp only: trace-set-prefix-def) blast
  then obtain t' where t'-st: t' ∈ T' m ≤ t' ..
  with m-st and T'-st have t'-out-S: t' ∉ S
    unfolding Prop-def by blast
  from T'-st and S-Prop and S-SP and t'-st and t'-out-S
  have T' ∉ [S] unfolding property-lift-def by blast
}
hence ∀ T'. T' ∈ Prop ∧ ?M ≤ T' ⟶ T' ∉ [ S ] by blast

with m-st and M-prf-T and M-Obs
have ∃ M. M ∈ Obs ∧ M ≤ T ∧ (∀ T'. T' ∈ Prop ∧ M ≤ T' ⟶ T' ∉ [S])
  by blast
}
thus ?thesis using lift-S-HP unfolding SHP-def shp-def by blast
qed

```

lemma prefix-set-has-longest:

```

fixes  $t :: 'a$  llist
assumes  $X\text{-fin}$ : finite  $X$  and  $X\text{-non-empty}$ :  $X \neq \emptyset$ 
and  $X\text{-prefix-}t$ :  $\forall x \in X. x \leq t$ 
shows  $\exists m \in X. (\forall x \in X. x \leq m)$ 
using prems
proof (induct  $X$  rule: Finite-Set.finite-ne-induct)
  fix  $x :: 'a$  llist show  $\exists m \in \{x\}. \forall x \in \{x\}. x \leq m$  by blast
next
  fix  $x :: 'a$  llist and  $F :: 'a$  llist set
  assume
     $R$ :  $\forall x \in F. x \leq t \implies \exists m \in F. \forall x \in F. x \leq m$ 
    and  $t\text{-upper-bound}$ :  $\forall x \in \text{insert } x F. x \leq t$ 
  then obtain  $m$  where
     $m\text{-in-}F$ :  $m \in F$  and  $m\text{-le-}t$ :  $m \leq t$  and  $x\text{-le-}t$ :  $x \leq t$ 
    and  $m\text{-max-}F$ :  $\forall x \in F. x \leq m$  using  $R$  by (auto dest:  $R$ )
  from  $m\text{-le-}t$   $x\text{-le-}t$  have  $m \leq x \vee x \leq m$  by (rule pref-locally-linear)
  thus  $\exists m \in \text{insert } x F. \forall x \in \text{insert } x F. x \leq m$ 
proof
  assume  $m \leq x$  with  $m\text{-max-}F$ 
  have  $\forall xa \in \text{insert } x F. xa \leq x$  by auto
  thus ?thesis by blast
next assume  $x \leq m$  with  $m\text{-max-}F$ 
  have  $\forall xa \in \text{insert } x F. xa \leq m$  by auto
  thus ?thesis using  $m\text{-in-}F$  by blast
qed
qed

```

theorem *proposition-1-if*:

```

assumes  $S\text{-Prop}$ :  $S \in \text{Prop}$  and  $\text{lift-}S\text{-shp}$ :  $[S] \in \text{SHP}$ 
shows  $S \in \text{SP}$ 
proof –
  {
    — Show that  $t$  has finite bad thing  $m$ .
    fix  $t :: \text{trace}$ 
    assume  $t\text{-st}$ :  $t \notin S \ \{t\} \in \text{Prop}$ 
    then have  $t\text{-out-lift-}S$ :  $\{t\} \notin [S]$  by (simp add: property-lift-def)

    obtain  $M$  where
       $M\text{-st}$ :  $M \in \text{Obs}$   $M \leq \{t\} \vee T'. T' \in \text{Prop} \wedge M \leq T' \implies T' \notin [S]$ 
      using  $t\text{-out-lift-}S$  and  $t\text{-st}$  and  $S\text{-Prop}$  and  $\text{lift-}S\text{-shp}$ 
      unfolding SHP-def shp-def
      by blast

    have  $\exists ms \in \Psi_{\text{fin}}. ms \in M \wedge ms \leq t \wedge (\forall m \in M. m \leq ms)$ 

```

```

proof –
  have  $M\text{-pfx-}t$ :  $\forall m \in M. m \leq t$ 
    using  $M\text{-st}$  unfolding  $\text{trace-set-prefix-def}$  by  $\text{blast}$ 
  have  $M\text{-nonempty}$ :  $M \neq \emptyset$ 
  proof (rule ccontr)
    {
      assume  $M\text{-empty}$ :  $\neg M \neq \emptyset$ 
      {
        fix  $T' :: \text{property}$  assume  $T' \in \text{Prop}$ 
        with  $M\text{-empty}$  have  $M \leq T'$  unfolding  $\text{trace-set-prefix-def}$  by  $\text{blast}$ 
      }
      hence  $M\text{-pfx-Prop}$ :  $\forall T' \in \text{Prop}. M \leq T'$  by  $\text{blast}$ 
      have  $\emptyset \in \text{Prop}$  unfolding  $\text{Prop-def}$  by  $\text{blast}$ 
      hence  $M \leq \emptyset$  using  $M\text{-pfx-Prop}$  by  $\text{blast}$ 
      hence  $\emptyset \notin [S]$  using  $M\text{-st}$  and  $\langle \emptyset \in \text{Prop} \rangle$  by  $\text{blast}$ 
      have  $\emptyset \in [S]$  using  $\text{property-lift-def}$  by  $\text{blast}$ 
      from  $\langle \emptyset \in [S] \rangle$  and  $\langle \neg \emptyset \in [S] \rangle$  have  $\text{False}$  by  $\text{blast}$ 
    }
  thus  $\neg M \neq \emptyset \implies \text{False}$  by  $\text{blast}$ 
qed

```

```

  have  $M\text{-fin}$ : finite  $M$  using  $M\text{-st}$  unfolding  $\text{Obs-def}$  by  $\text{blast}$ 
  from this obtain  $ms$  where  $ms\text{-st}$ :  $ms \in M \ \forall x \in M. x \leq ms$ 
    using  $M\text{-pfx-}t$  and  $M\text{-nonempty}$ 
    apply (insert prefix-set-has-longest [where  $t=t$  and  $X=M$ ],  $\text{blast}$ )
    done
  hence  $ms\text{-psi-fin}$ :  $ms \in \Psi_{\text{fin}}$  using  $M\text{-st}$  unfolding  $\text{Obs-def}$  by  $\text{blast}$ 
  have  $ms\text{-pfx-}t$ :  $ms \leq t$  using  $ms\text{-st}$  and  $M\text{-st}$  unfolding  $\text{trace-set-prefix-def}$ 
    by  $\text{blast}$ 
  from  $ms\text{-psi-fin}$  and  $ms\text{-st}$  and  $ms\text{-pfx-}t$ 
  show  $\exists ms \in \Psi_{\text{fin}}. ms \in M \wedge ms \leq t \wedge (\forall m \in M. m \leq ms)$ 
    by  $\text{blast}$ 
qed
from this obtain  $m\text{-star}$  where
   $m\text{-star-st}$ :  $m\text{-star} \in \Psi_{\text{fin}} \ m\text{-star} \in M \ m\text{-star} \leq t$ 
     $\forall m \in M. m \leq m\text{-star}$ 
by auto

```

```

{
  fix  $t'$ 
  assume  $t'\text{-st}$ :  $\{t'\} \in \text{Prop} \ m\text{-star} \leq t'$ 
  let  $?T' = \{t'\}$ 
  have  $M \leq ?T'$ 
  proof –

```



```

    {
      fix  $m$ 
      assume  $m \in M$ 
      with  $m\text{-star-st}$  have  $m \leq m\text{-star}$  by blast
      with  $t'\text{-st}$  have  $m \leq t'$  using llist-le-trans by blast
    }
    thus  $M \leq ?T'$  unfolding trace-set-prefix-def by blast
  qed

  with  $M\text{-st}$  and  $t'\text{-st}$  have  $?T' \notin [S]$  by blast
  hence  $t' \notin S$  unfolding property-lift-def by blast
}

with  $m\text{-star-st}$  have  $\exists m \in \Psi_{\text{fin}}. m \leq t \wedge (\forall t' \in \Psi_{\text{inf}}. m \leq t' \longrightarrow t' \notin S)$ 
  unfolding Prop-def
  by blast
}
thus ?thesis
  using S-Prop
  unfolding SP-def sp-def Prop-def by blast
qed

```

4 Proposition 2

```

theorem proposition-2-oif:
  fixes  $L :: \text{trace set}$ 
  assumes  $L\text{-Prop}: L \in \text{Prop}$  and  $L\text{-LP}: L \in \text{LP}$ 
  shows  $[L] \in \text{LHP}$ 
proof -
  have lift-L-HP:  $[L] \in \text{HP}$ 
    using  $L\text{-Prop}$  property-lifts-into-hyperproperty by blast
  {
    fix  $M$  assume  $M\text{-st}: M \in \text{Obs}$ 
    {
      fix  $m$  assume  $m\text{-st}: m \in M$ 
      have  $\exists t. m \leq t \wedge t \in L$ 
      proof -
        from  $m\text{-st}$  and  $M\text{-st}$  have  $m \in \Psi_{\text{fin}}$ 
          unfolding Obs-def by blast
        with  $L\text{-Prop}$  and  $L\text{-LP}$  and  $m\text{-st}$  show ?thesis
          unfolding LP-def lp-def Prop-def by blast
      qed
    }
  }
  hence  $M\text{-more}: \forall m \in M. (\exists t. m \leq t \wedge t \in L)$  by blast

```

```

let ?T = {tm. ∃ m ∈ M. m ≤ tm ∧ tm ∈ L}
have ?T ⊆ L by blast
hence T-in-lift: ?T ∈ [L] unfolding property-lift-def by blast
with M-more have M-pfx-T: M ≤ ?T
  unfolding trace-set-prefix-def by blast
have ?T ∈ Prop using M-st L-Prop
  unfolding Prop-def psi-inf-def Obs-def psi-fin-def
  by blast
with T-in-lift and M-pfx-T and L-Prop
  have ∃ T. T ∈ Prop ∧ M ≤ T ∧ T ∈ [L] by blast
}
thus [L] ∈ LHP using lift-L-HP unfolding LHP-def lhp-def by blast
qed

```

theorem *proposition-2-if*:

```

fixes L :: trace set
assumes L-Prop: L ∈ Prop and L-lift-lhp: [L] ∈ LHP
shows L ∈ LP
proof -
{ fix t :: trace assume t-st: t ∈ Ψfin
let ?T = {t}
obtain T' where T'-st: ?T ≤ T' T' ∈ [L] T' ∈ Prop
  proof -
    from t-st have t-Obs: {t} ∈ Obs using Obs-def by blast
    hence ∃ T' ∈ Prop. ?T ≤ T' ∧ T' ∈ [L]
      using L-lift-lhp unfolding LHP-def lhp-def by blast
    thus ?thesis by auto
  qed
then obtain t' where t'-st: t ≤ t' t' ∈ T' t' ∈ Ψinf
  unfolding trace-set-prefix-def Prop-def by blast
have t' ∈ L using ⟨t' ∈ T'⟩ and ⟨T' ∈ [L]⟩
  unfolding property-lift-def by blast
with t'-st have ∃ t' ∈ Ψinf. t ≤ t' ∧ t' ∈ L by blast
}
thus L ∈ LP unfolding LP-def lp-def using L-Prop by blast
qed

```

5 Theorem 3

5.1 Definitions and Lemmas

constdefs

Safe :: *hyperproperty* ⇒ *hyperproperty*

$$\text{Safe } P \triangleq \{T \in \text{Prop}. (\forall M \in \text{Obs}. M \leq T \longrightarrow (\exists T' \in \text{Prop}. M \leq T' \wedge T' \in P))\}$$

Live :: hyperproperty \Rightarrow hyperproperty
Live $P \triangleq P \cup (\text{Prop} - \text{Safe } P)$

lemma *Safe-is-HP*:

fixes $P :: \text{hyperproperty}$
assumes $P \in \text{HP}$
shows $\text{Safe } P \in \text{HP}$
unfolding *Safe-def HP-def* **by** *blast*

lemma *Live-is-HP*:

fixes $P :: \text{hyperproperty}$
assumes $P\text{-HP}: P \in \text{HP}$
shows $\text{Live } P \in \text{HP}$
using $P\text{-HP}$
unfolding *Live-def HP-def* **by** *blast*

lemma *Safe-is-hypersafety*:

fixes $P :: \text{hyperproperty}$
assumes $P\text{-HP}: P \in \text{HP}$
shows $\text{Safe } P \in \text{SHP}$
using $P\text{-HP Safe-is-HP}$
unfolding *Safe-def SHP-def shp-def*
by *blast*

lemma *P-subset-Safe-P*:

fixes $P :: \text{hyperproperty}$
assumes $P\text{-HP}: P \in \text{HP}$
shows $P \subseteq \text{Safe } P$
using $P\text{-HP}$
unfolding *Safe-def HP-def*
by *blast*

lemma *stutter-append-is-infinite*:

fixes $x :: \text{trace}$
assumes $x\text{-fin}: x \in \Psi_{\text{fin}}$ **and** $s\text{-st}: s \in \Sigma$
shows $(x @@ \text{lconst } s) \in \Psi_{\text{inf}}$
proof –
from $s\text{-st}$ **have** $\text{lconst } s \in \text{inflsts } \Sigma$
by $(\text{rule lconstT [of } s \ \Sigma])$
thus $(x @@ \text{lconst } s) \in \Psi_{\text{inf}}$
using $x\text{-fin } s\text{-st lapp-fin-infT}$
unfolding $\text{psi-fin-def psi-inf-def}$
by *blast*

qed

constdefs

asInfinite :: *trace* \Rightarrow *trace*

asInfinite *t* \triangleq if *LNil* = *t* then *lconst DummyState* else *t* @@ (*lconst* (*llast* *t*))

— Converts a finite trace to an infinite trace. If the given finite trace is non-empty, it returns a suffix in which the final state is infinitely stuttered; otherwise it returns the constant *DummyState* trace.

lemma *llast-in-trace-alphabet*:

assumes *t* $\in \Psi_{\text{fin}}$

shows *t* $\neq \text{LNil} \longrightarrow \text{llast } t \in \Sigma$ (**is** ?*P* *t*)

using *prems*

unfolding *psi-fin-def*

by (*induct* *t* *rule*: *finlsts.induct*) *auto*

lemma *asInfinite-correctness*:

assumes *t-fin*: *t* $\in \Psi_{\text{fin}}$

shows *asInfinite* *t* $\in \Psi_{\text{inf}} \wedge t \leq \text{asInfinite } t$

proof *cases*

assume *LNil* = *t*

thus ?*thesis* **unfolding** *asInfinite-def* *psi-inf-def* **using** *DummyState-is-State*

by (*simp* *add*: *lconstT* [of *DummyState* Σ])

next

assume *t-positive*: *LNil* $\neq t$

with *t-fin* **have** *res-inf*: *asInfinite* *t* $\in \Psi_{\text{inf}}$

proof—

have *llast* *t* $\in \Sigma$ **using** *t-positive* *t-fin* *llast-in-trace-alphabet* **by** *simp*

moreover

have *lconst* (*llast* *t*) $\in \Psi_{\text{inf}}$

using *t-fin* *t-positive* $\langle \text{llast } t \in \Sigma \rangle$ **unfolding** *psi-fin-def* *psi-inf-def*

by (*simp* *add*: *lconstT* [of *llast* *t* Σ])

moreover

have *t@@lconst* (*llast* *t*) $\in \Psi_{\text{inf}}$

using *t-fin* $\langle \text{llast } t \in \Sigma \rangle$

by (*simp* *add*: *stutter-append-is-infinite* [of *t* *llast* *t*])

ultimately

show *asInfinite* *t* $\in \Psi_{\text{inf}}$ **unfolding** *asInfinite-def*

using *t-positive* **by** *simp*

qed

from *t-fin* **and** *t-positive*

have *t* $\leq \text{asInfinite } t$

unfolding *psi-fin-def* *asInfinite-def* **using** *le-lappend* **by** *simp*

with *res-inf* **show** ?*thesis* ..

qed

lemma *Live-is-hyperliveness*:

fixes $P::\text{hyperproperty}$

assumes $P\text{-HP}: P \in \text{HP}$

shows $\text{Live } P \in \text{LHP}$

proof –

have $\text{Live-HP}: \text{Live } P \in \text{HP}$ **using** $P\text{-HP}$ Live-is-HP **by** *blast*

{

fix T **assume** $T\text{-st}: T \in \text{Obs}$

have $\exists T' \in \text{Prop}. T \leq T' \wedge T' \in \text{Live } P$

proof *cases*

assume $\exists T' \in \text{Prop}. T \leq T' \wedge T' \in P$

then obtain T' **where** $T'\text{-st}: T' \in \text{Prop}$ $T \leq T'$ $T' \in P$ **by** *blast*

hence $T' \in \text{Live } P$ **unfolding** Live-def **by** *blast*

thus *?thesis* **using** $T'\text{-st}$ **by** *blast*

next

assume $T'\text{-non-extends}: \neg(\exists T' \in \text{Prop}. T \leq T' \wedge T' \in P)$

{

fix T' **assume** $T'\text{-extends-}T: T' \in \text{Prop}$ $T \leq T'$

hence $T' \notin P$ **using** $T'\text{-non-extends}$ **by** *blast*

hence $T' \notin \text{Safe } P$

proof –

have $\exists T \in \text{Obs}. T \leq T' \wedge (\forall T' \in \text{Prop}. \neg(T \leq T') \mid (T' \notin P))$

using $T\text{-st}$ **and** $T'\text{-extends-}T$ **and** $T'\text{-non-extends}$ **by** *blast*

hence $\neg(\forall M \in \text{Obs}. M \leq T' \longrightarrow$
 $(\exists T'' \in \text{Prop}. M \leq T'' \wedge T'' \in P))$

by *blast*

thus *?thesis* **using** $\langle T' \in \text{Prop} \rangle$ **unfolding** Safe-def **by** *blast*

qed

hence $T' \in (\text{Prop} - \text{Safe } P)$ **using** $\langle T' \in \text{Prop} \rangle$ **by** *blast*

}

hence $\text{all-pfx}: \forall T' \in \text{Prop}. T \leq T' \longrightarrow T' \in \text{Prop} - \text{Safe } P$ **by** *simp*

show $\exists T' \in \text{Prop}. T \leq T' \wedge T' \in \text{Live } P$

proof –

let $?T' = \{\text{asInfinite } x \mid x. x \in T\}$

have $T'\text{-suff}: T \leq ?T'$ **using** $\text{asInfinite-correctness}$ $T\text{-st}$

unfolding $\text{trace-set-prefix-def}$ Obs-def **by** *blast*

have $T'\text{-Prop}: ?T' \in \text{Prop}$ **using** $T\text{-st}$ $\text{asInfinite-correctness}$

unfolding Obs-def Prop-def **by** *blast*

from $T'\text{-suff}$ **and** $T'\text{-Prop}$ **have** $?T' \in \text{Prop} - \text{Safe } P$ **using** all-pfx **by**

blast

with $T'\text{-suff}$ **and** $T'\text{-Prop}$ **show** *?thesis* **unfolding** Live-def **by** *blast*

qed

```

    qed
  }
  thus ?thesis using Live-HP unfolding Live-def LHP-def lhp-def by blast
qed

```

5.2 Theorem

theorem *theorem-3:*

fixes $P :: \text{trace set set}$

assumes $P\text{-HP}: P \in \text{HP}$

shows $\exists S \in \text{SHP}. \exists L \in \text{LHP}. P = S \cap L$

proof –

let $?S = \text{Safe } P$ **let** $?L = \text{Live } P$

have $?S \cap ?L = (P \cup \text{Safe } P) \cap (P \cup (\text{Prop} - \text{Safe } P))$

unfolding *Live-def* **using** $P\text{-HP}$ $P\text{-subset-Safe-}P$ **by** *blast*

also have $(P \cup \text{Safe } P) \cap (P \cup (\text{Prop} - \text{Safe } P))$

$= P \cap (\text{Safe } P \cup (\text{Prop} - \text{Safe } P))$

using $P\text{-HP}$ **unfolding** *HP-def* **by** *blast*

also have $P \cap (\text{Safe } P \cup (\text{Prop} - \text{Safe } P)) = P \cap \text{Prop}$

unfolding *Safe-def* **by** *blast*

also have $P \cap \text{Prop} = P$ **using** $P\text{-HP}$ **unfolding** *HP-def* **by** *blast*

finally have *witness*: $?S \cap ?L = P$ **by** *blast*

have *Safe-SHP*: $\text{Safe } P \in \text{SHP}$ **using** *Safe-is-hypersafety* $P\text{-HP}$ **by** *blast*

have *Live-LHP*: $\text{Live } P \in \text{LHP}$ **using** *Live-is-hyperliveness* $P\text{-HP}$ **by** *blast*

show *?thesis* **using** *Safe-SHP* *Live-LHP* *witness* **by** *blast*

qed

6 Theorem 1

6.1 Definitions and Lemmas

constdefs

$\text{Systems} :: \text{trace set set}$

$\text{Systems} \triangleq \{ts. ts \neq \emptyset \wedge ts \subseteq \Psi_{\text{inf}}\}$

$\text{refinedby} :: \text{trace set} \Rightarrow \text{trace set} \Rightarrow \text{bool}$ (**infix** ≤ 80)

$S \leq S' \triangleq S' \subseteq S$

$rc :: \text{hyperproperty} \Rightarrow \text{bool}$

$rc\ H \triangleq \forall S \in \text{Systems}. S \models H \longrightarrow$

$(\forall S' \in \text{Systems}. S \leq S' \longrightarrow S' \models H)$

$RC :: \text{hyperproperty set}$

$RC \triangleq \{H \in \text{HP}. rc\ H\}$

axioms *safety-and-liveness-onlyif-true:*

$\llbracket p \in LP; p \in SP \rrbracket \implies p = \text{true-Prop}$

— Any property which is both safety and liveness is the *true* property. This is axiomatised since it is well-known about the theory of properties.

lemma *hypersafety-and-hyperliveness-onlyif-true*:

fixes $H :: \text{hyperproperty}$

assumes $H\text{-SHP}: H \in \text{SHP}$ **and** $H\text{-LHP}: H \in \text{LHP}$

shows $H = \text{true-HP}$

proof (rule *ccontr*)

have $H\text{-HP}: H \in \text{HP}$ **using** $H\text{-SHP}$ **unfolding** SHP-def shp-def **by** *blast*

{

assume $H\text{-untrue}: H \neq \text{true-HP}$

then obtain $T\text{star}$ **where** $T\text{star-st}: T\text{star} \in \text{Prop}$ $T\text{star} \notin H$

using $H\text{-HP}$ **unfolding** HP-def true-HP-def Prop-def **by** *blast*

obtain M **where** $M\text{-st}: M \in \text{Obs}$ $M \leq T\text{star}$

$\forall T' \in \text{Prop}. M \leq T' \implies T' \notin H$

using $H\text{-SHP}$ $T\text{star-st}$

unfolding SHP-def shp-def **by** *blast*

then obtain Th **where** $Th\text{-st}: Th \in \text{Prop}$ $M \leq Th$ $Th \in H$

using $H\text{-LHP}$

unfolding LHP-def lhs-def **by** *blast*

hence $Th \notin H$ **using** $\langle Th \in \text{Prop} \rangle M\text{-st}$ **by** *blast*

thus *False* **using** $Th\text{-st}$ **by** *blast*

}

qed

lemma *hypersafety-and-hyperliveness-onlyif-true-contrapos*:

fixes $H :: \text{hyperproperty}$

shows $H \neq \text{true-HP} \implies (H \notin \text{LHP} \mid H \notin \text{SHP})$

apply (*insert hypersafety-and-hyperliveness-onlyif-true* [of H])

by *blast*

axioms $\text{Ex-nontrue-Prop}: \exists l \in LP. l \neq \text{true-Prop}$

— There is a liveness property other than *true*. This is axiomatised since it is well-known about the theory of properties.

lemma *system-is-property*:

fixes $s :: \text{trace set}$

assumes $s\text{-Sys}: s \in \text{Systems}$

shows $s \in \text{Prop}$

using $s\text{-Sys}$

unfolding Systems-def Prop-def **by** *blast*

lemma $\text{HP-contains-SHP}: \text{SHP} \subseteq \text{HP}$ **unfolding** SHP-def shp-def **by** *blast*

6.2 Theorem

theorem *theorem-1-relaxed*:

shows $SHP \subseteq RC$

proof (rule *ccontr*)

assume $\neg SHP \subseteq RC$

then obtain S where $S-SHP$: $S \in SHP$ and $S-not-RC$: $S \notin RC$ by *blast*

have $S-HP$: $S \in HP$ using $S-SHP$ HP -contains- SHP by *blast*

from $S-HP$ and $S-not-RC$

obtain $T \ T'$ where $T-st$: $T \in Prop$ $T \in S$

and $T'-st$: $T' \in Prop$ $T' \notin S$

and $T-gt-T'$: $T \supseteq T'$

unfolding RC -def rc -def HP -def $Systems$ -def $Prop$ -def

unfolding $refinedby$ -def $hyperproperty$ -satisfies-def

by *blast*

from $T'-st$ obtain M

where $M-st$: $M \leq T'$ ($\forall T'' \in Prop. M \leq T'' \longrightarrow T'' \notin S$)

using $S-SHP$ unfolding SHP -def shp -def by *blast*

have $M \leq T$

using $M-st$ $T-st$ $T'-st$ $T-gt-T'$

unfolding $trace$ -set-prefix-def by *blast*

hence $T \notin S$ using $T-st$ $M-st$ by *blast*

thus *False* using $T-st$ by *blast*

qed

theorem *theorem-1*: $SHP \subset RC$

proof

show $SHP \subseteq RC$ using *theorem-1-relaxed* by *assumption*

obtain l where $l-LP$: $l \in LP$ and $l-untrue$: $l \neq true-Prop$

using $Ex-nontrue-Prop$ by *blast*

hence $cx-RC$: $[l] \in RC$

unfolding $property$ -lift-def LP -def lp -def RC -def rc -def $Systems$ -def

$refinedby$ -def HP -def $Prop$ -def psi -inf-def psi -fin-def

$hyperproperty$ -satisfies-def

by *blast*

from $l-untrue$ have $[l] \neq true-HP$

using $l-LP$

unfolding LP -def lp -def $true-Prop$ -def $true-HP$ -def $property$ -lift-def

psi -inf-def $Prop$ -def

by *blast*

hence $[l] \notin SHP$

proof –

have $l \in Prop$ using $l-LP$ unfolding LP -def lp -def by *blast*

with $l-LP$ have $[l] \in LHP$ using *proposition-2-oif* by *blast*

thus $[l] \notin SHP$


```

    using  $\langle [l] \neq \text{true-HP} \rangle$ 
      hypersafety-and-hyperliveness-only-if-true-contrapos by blast
  qed
  thus  $SHP \neq RC$  using cx-RC by blast
qed

```

7 Proposition 3

7.1 Definitions and Lemmas

constdefs

$Cls :: ('a \text{ set} \Rightarrow 'a \text{ set}) \text{ set}$
 $Cls \triangleq \{cl. \forall T :: 'a \text{ set}. T \subseteq cl T\}$

$PIF :: \text{hyperproperty set}$
 $PIF \triangleq \{\{Cl T \mid T. T \in Prop\} \mid Cl. Cl \in Cls\}$

$lsingle :: 'a \Rightarrow 'a \text{ llist}$
 $lsingle x \triangleq x \# \# LNil$

$hasDummyState :: \text{trace} \Rightarrow \text{bool}$
 $hasDummyState t \triangleq \exists t'. t' @ (lsingle DummyState) \leq t$

$GS :: \text{trace set}$
 $GS \triangleq \{t. t \in \Psi_{\text{inf}} \wedge hasDummyState t\}$

— The guaranteed service property, GS , contains infinite traces in which a designated state occurs. This definition generalizes GS from the technical report.

axioms

Cl-produces-Props: $\llbracket T \in Prop; Cl \in Cls \rrbracket \Longrightarrow Cl T \in Prop$

— This axiom is essentially a type signature on closures. It is axiomatised because although it is not mentioned in the technical report, it is required for Proposition 3.

EX-trace-sans-DummyState: $\exists t \in \Psi_{\text{inf}}. \neg hasDummyState t$

— There is an infinite trace without a certain state (the *DummyState*, in this case). This is axiomatised because it is well-known about the theory of properties.

GS-liveness: $lp GS$

— The GS property is a liveness property. This is axiomatised since it is well-known.

```

lemma GS-LHP:  $[GS] \in LHP$ 
proof –
  have  $GS \in Prop$  unfolding Prop-def GS-def by blast
  thus ?thesis using GS-liveness proposition-2-oif unfolding LP-def by blast
qed

```

```

lemma trace-set-prefix-expanding':
  fixes  $T :: trace\ set$ 
  assumes  $T\text{-st}: T \leq T'$  and  $T'\text{-sub}: T' \subseteq T''$ 
  shows  $T \leq T''$ 
  using  $T\text{-st}$   $T'\text{-sub}$  unfolding trace-set-prefix-def by blast

```

7.2 Proposition

```

theorem proposition-3-relaxed:
  shows  $PIF \subseteq LHP$ 
proof –
  {
    fix  $P$  assume  $P \in PIF$ 
    then obtain  $Cl\text{-}P$  where  $P\text{-st}: P = \{Cl\text{-}P\ T \mid T. T \in Prop\}$ 
      and  $Cl\text{-}P\text{-closure}: Cl\text{-}P \in Cls$ 
    unfolding PIF-def by blast
    have  $P\text{-HP}: P \in HP$ 
    proof –
      {
        fix  $x$  assume  $x \in P$ 
        then obtain  $T$  where  $T\text{-st}: x = Cl\text{-}P\ T$   $T \in Prop$ 
        using  $P\text{-st}$  by blast
        hence  $x \in Prop$  using  $Cl\text{-}P\text{-closure}$  Cl-produces-Props by blast
      }
    thus ?thesis unfolding HP-def by blast
  }
qed
{
  fix  $T$  assume  $T\text{-Obs}: T \in Obs$ 
  have  $\exists T' \in Prop. T \leq T' \wedge T' \in P$ 
  proof –
    let  $?T\text{-inf} = \{asInfinite\ t \mid t. t \in T\}$ 
    let  $?T' = Cl\text{-}P\ ?T\text{-inf}$ 
    have  $T'\text{-suff}: T \leq ?T'$ 
    proof –
      have  $Cl\text{-}P\text{-monotonic}: \bigwedge X. X \subseteq Cl\text{-}P\ X$ 
      using  $Cl\text{-}P\text{-closure}$  unfolding Cls-def by blast
      hence  $Cl\text{-}P\text{-prop}: ?T\text{-inf} \subseteq Cl\text{-}P\ ?T\text{-inf}$  by auto
      have  $T\text{-pfx-}T\text{-inf}: T \leq ?T\text{-inf}$ 
      using  $T\text{-Obs}$  asInfinite-correctness
    }
  }

```

```

      unfolding Obs-def trace-set-prefix-def by blast
    with Cl-P-prop show ?thesis
      apply (insert trace-set-prefix-expanding' [OF T-pfx-T-inf Cl-P-prop])
      apply assumption
      done
  qed
  have ?T-inf ∈ Prop using T-Obs asInfinite-correctness
    unfolding Obs-def Prop-def by blast
  hence T'-P: ?T' ∈ P using P-st by blast
  have T'-Prop: ?T' ∈ Prop
    using (??T-inf ∈ Prop) Cl-P-closure Cl-produces-Props by blast
  with (??T' ∈ P) and T'-suff show ?thesis by blast qed
}
hence P ∈ LHP using P-HP unfolding LHP-def lhp-def by blast
}
thus PIF ⊆ LHP by blast
qed

```

theorem *proposition-3*:

shows $PIF \subset LHP$

proof

show $PIF \subseteq LHP$ using *proposition-3-relaxed* .

have *GS-lift-LHP*: [*GS*] ∈ *LHP* by (*simp add: GS-LHP*)

show $PIF \neq LHP$

proof (*rule ccontr*)

```

{
  assume PIF = LHP
  hence [GS] ∈ PIF using GS-lift-LHP by simp
  then obtain CL-GS
    where CL-GS-st: [GS] = {CL-GS T | T. T ∈ Prop}
    and CL-GS-Cls: CL-GS ∈ Cls unfolding PIF-def by blast
  obtain t
    where t-inftrace: t ∈  $\Psi_{\text{inf}}$ 
    and t-no-Dummy:  $\neg \text{hasDummyState } t$ 
    using EX-trace-sans-DummyState by blast
  hence ts-Prop: {t} ∈ Prop unfolding Prop-def by blast
  have t ∈ CL-GS {t} using CL-GS-Cls unfolding Cls-def by blast
  hence  $\neg (CL-GS \{t\} \models GS)$ 
    using t-no-Dummy
    unfolding property-satisfies-def GS-def by blast
  hence False using CL-GS-st
    using ts-Prop unfolding property-satisfies-def property-lift-def by blast
}
thus  $\neg PIF \neq LHP \implies \text{False}$  by blast
qed

```

qed

8 Theorem 2

8.1 Definitions and Lemmas

We represent traces over the alphabet A^k as $'a \text{ llist } llist$ where $'a$ is the type of elements of A . That is, instead of using k -tuples, we use llists of length k .

constdefs

$kshp :: nat \Rightarrow hyperproperty \Rightarrow bool$
 $kshp \ k \ S \triangleq$
 $S \in HP \wedge$
 $(\forall T \in Prop. T \notin S \longrightarrow$
 $(\exists M \in Obs. M \leq T \wedge card \ M = k \wedge$
 $(\forall T' \in Prop. M \leq T' \longrightarrow T' \notin S)))$
 $KSHP :: nat \Rightarrow hyperproperty \ set$
 $KSHP \ k \triangleq \{S. kshp \ k \ S\}$

$fromSome :: 'a \ option \Rightarrow 'a$
 $fromSome \ x \triangleq (case \ x \ of \ Some \ e \Rightarrow e \mid None \Rightarrow arbitrary)$
 $fromSomeSt :: state \ option \Rightarrow state$
 $fromSomeSt \ x \triangleq (case \ x \ of \ Some \ s \Rightarrow s \mid None \Rightarrow \perp)$

$zipn :: nat \Rightarrow (state \ llist) \ llist \Rightarrow (state \ llist) \ llist \Rightarrow bool$
 $zipn \ k \ T \ t \triangleq$
 $\forall j :: nat. j < k \longrightarrow t!!j = Some \ (lmap \ (\lambda t. fromSomeSt \ (t!!j)) \ T)$
 — The zip relation. We get unzip for free.

$set-to-llist :: 'a \ set \Rightarrow 'a \ llist$
 $set-to-llist \ S \triangleq SOME \ l. lset \ l = S$

Following are various axioms about the *zip* operator. Each axiom corresponds to an unproved fact about the operator.

axioms

zip-of-Obs-exists:

$M \in Obs \Longrightarrow \exists m. zipn \ k \ (set-to-llist \ M) \ m$

— Any observation can be zipped. This axiom is used in the if direction of theorem 3.

zip-EX-suffix:

$\llbracket M \in Obs; S \in Systems; zipn \ k \ (set-to-llist \ M) \ m; M \leq S \rrbracket$

$\Longrightarrow \exists s \in kProd \ k \ S. prefix-k \ k \ m \ s$

— There is a suffix S^k to any zip of an observation, if the system S is a suffix of the observation.

zip-of-Obs-fin:

$\llbracket M \in \text{Obs}; \text{zipn } k \text{ (set-to-llist } M) \text{ } m \rrbracket$

$\implies m \in (\Sigma^*)^*$

— Zipping an observation produces a finite trace over Σ^k .

unzipped-recoverable:

$\text{zipn } k \text{ (set-to-llist } M) \text{ } Mz$

$\implies \forall j < k. \exists m \in M. m = \text{lmap } (\lambda t. \text{fromSome } (t!!j)) \text{ } Mz$

— Every member from an unzipped trace set corresponds to some element of the zip.

unzip-monotonic-wrt-prefix-k:

$\llbracket \text{zipn } k \text{ (set-to-llist } M) \text{ } Mz; \text{zipn } k \text{ (set-to-llist } T) \text{ } Tz; \text{prefix-k } k \text{ } Mz \text{ } Tz \rrbracket$

$\implies M \leq Tl$

— Unzipping is monotonic.

constdefs

$\text{noBot} :: \text{state llist} \Rightarrow \text{bool}$

$\text{noBot} \triangleq \text{fnlsts-rec True } (\lambda s \text{ } r \text{ } b. b \wedge (s \neq \perp))$

— $\text{noBot } t$ asserts that the finite trace t does not contain \perp .

$\text{bottoms} :: \text{state llist}$ — infinite list of bottoms

$\text{bottoms} \triangleq \text{lconst } \perp$

$\text{prefix-bottom} :: \text{state llist} \Rightarrow \text{state llist} \Rightarrow \text{bool}$ (**infix** \leq_\perp 60)

$t \leq_\perp u \triangleq \exists tp. \text{noBot } tp \wedge t \leq tp \text{ @@ bottoms} \wedge tp \leq u$

— Effectively removes the bottoms from the first trace, then compares it to the second.

$\text{prefix-k} :: (\text{state llist}) \text{ llist} \Rightarrow \text{nat} \Rightarrow (\text{state llist}) \text{ llist} \Rightarrow \text{bool}$ ($- \leq_-$ - 60)

$t_k \leq_k u_k \triangleq$

$\forall j. j < k \longrightarrow$

$(\text{lmap } (\lambda t. \text{fromSome } (t!!j)) \text{ } t_k) \leq_\perp (\text{lmap } (\lambda t. \text{fromSome } (t!!j)) \text{ } u_k)$

— The input traces are over the alphabet Σ^k . We project the j th position of each element, which creates two traces each with state elements, and compare those with prefix-bottom .

$\text{State-K} :: \text{state llist set}$

$\text{State-K} \triangleq \Sigma^*$

$\text{TraceFin-K} :: \text{state llist llist set}$

$\text{TraceFin-K} \triangleq \text{State-K}^*$

$\text{TraceInf-K} :: \text{state llist llist set}$

$\text{TraceInf-K} \triangleq \text{State-K}^\omega$

$\text{Prop-K} :: \text{state llist llist set set}$

$$Prop\text{-}K \triangleq Pow\ TraceInf\text{-}K$$

A generic definition of safety which takes an alphabet as a parameter. For theorem 2 we require reasoning about traces over Σ and Σ^k .

constdefs

$$\begin{aligned} spa &:: nat \Rightarrow (state\ llist)\ llist\ set \Rightarrow bool \\ spa\ k\ P &\triangleq P \in Prop\text{-}K \\ &\quad \wedge (\forall\ t \in TraceInf\text{-}K. t \notin P \longrightarrow \\ &\quad (\exists\ m \in TraceFin\text{-}K. m \leq_k t \wedge \\ &\quad (\forall\ t' \in TraceInf\text{-}K. m \leq_k t' \longrightarrow t' \notin P))) \end{aligned}$$

$$\begin{aligned} SPA &:: nat \Rightarrow (state\ llist)\ llist\ set\ set \\ SPA\ k &\triangleq \{P. spa\ k\ P\} \end{aligned}$$

$$\begin{aligned} kProd &:: nat \Rightarrow state\ llist\ set \Rightarrow (state\ llist)\ llist\ set \\ kProd\ k\ S &\triangleq \{t \in TraceInf\text{-}K. \exists\ S' \in Systems. \\ &\quad S' \subseteq S \wedge card\ S' = k \wedge zipn\ k\ (set\text{-}to\text{-}llist\ S')\ t\} \\ &\quad \text{--- } k\text{-product of a system } S. \end{aligned}$$

$$\begin{aligned} pa\text{-}satisfies &:: 'a\ llist\ set \Rightarrow 'a\ llist\ set \Rightarrow bool\ ((- \models -) [80,80]\ 80) \\ pa\text{-}satisfies\text{-}def &: ts \models p \triangleq ts \subseteq p \\ &\quad \text{--- Whether a set of traces over an alphabet } 'a \text{ satisfies a property.} \end{aligned}$$

$$\begin{aligned} KSP &:: nat \Rightarrow (state\ llist)\ llist\ set\ set \\ KSP\ k &\triangleq SPA\ k \end{aligned}$$

$$\begin{aligned} Bads\text{-}from\text{-}KSaf &:: nat \Rightarrow hyperproperty \Rightarrow trace\ set\ set \\ Bads\text{-}from\text{-}KSaf\ k\ KK &\triangleq \\ &\quad \{M \in Obs. card\ M \leq k \\ &\quad \quad \wedge (\exists\ T \in Prop. T \notin KK \wedge M \leq T) \\ &\quad \quad \wedge (\forall\ T' \in Prop. M \leq T' \longrightarrow T' \notin KK)\} \\ &\quad \text{--- Boldface } M \text{ in the proof of theorem 2.} \end{aligned}$$

$$\begin{aligned} Saf\text{-}from\text{-}KSaf &:: nat \Rightarrow hyperproperty \Rightarrow (state\ llist)\ llist\ set \\ Saf\text{-}from\text{-}KSaf\ k\ KK &\triangleq \\ &\quad \{t \in TraceInf\text{-}K. \\ &\quad \quad \neg(\exists\ M \in Obs. \exists\ tz \in TraceFin\text{-}K. \\ &\quad \quad \quad M \in Bads\text{-}from\text{-}KSaf\ k\ KK \wedge zipn\ k\ (set\text{-}to\text{-}llist\ M)\ tz \wedge tz \leq_k t)\} \\ &\quad \text{--- Boldface } K \text{ in the proof of theorem 2.} \end{aligned}$$

lemma *Saf-from-KSaf-is-safety*:

fixes $k :: nat$

assumes $KK\text{-}KSHP$: $KK \in KSHP\ k$

shows $Saf\text{-}from\text{-}KSaf\ k\ KK \in KSP\ k$

proof —

```

let ?K = Saf-from-KSaf k KK
have Saf-from-KSaf-st: ?K ∈ Prop-K
  unfolding Saf-from-KSaf-def TraceInf-K-def State-K-def Prop-K-def
  by blast
{
  fix t assume t-st: t ∈ TraceInf-K t ∉ ?K
  then have ∃ M ∈ Obs. M ∈ Bads-from-KSaf k KK
    ∧ (∃ tz ∈ TraceFin-K. zipn k (set-to-llist M) tz ∧ tz ≤k t)
    unfolding Saf-from-KSaf-def TraceInf-K-def TraceFin-K-def State-K-def
    by blast
  then obtain M tz where M-tz-st:
    M ∈ Obs
    M ∈ Bads-from-KSaf k KK
    tz ∈ TraceFin-K
    zipn k (set-to-llist M) tz
    tz ≤k t
  by blast
  {
    fix u assume u-st: u ∈ TraceInf-K tz ≤k u
    hence u ∉ ?K using M-tz-st
      unfolding TraceInf-K-def Saf-from-KSaf-def State-K-def by blast
  }
  hence ∃ tz ∈ TraceFin-K.
    tz ≤k t ∧ (∀ u ∈ TraceInf-K. tz ≤k u ⟶ u ∉ Saf-from-KSaf k KK)
    using M-tz-st unfolding TraceFin-K-def TraceInf-K-def State-K-def
    by blast
}
thus ?K ∈ KSP k
  unfolding KSP-def SPA-def spa-def using Saf-from-KSaf-st by blast
qed

```

lemma *trace-set-prefix-transitive*:

assumes $X\text{-}p\text{-}Y: X \leq Y$ and $Y\text{-}p\text{-}Z: Y \leq Z$
 shows $X \leq Z$

proof–

```

{
  fix x assume x ∈ X
  then obtain y where y ∈ Y x ≤ y
    using X-p-Y unfolding trace-set-prefix-def by blast
  then obtain z where z ∈ Z y ≤ z
    using Y-p-Z unfolding trace-set-prefix-def by blast
  have x ≤ z using ⟨x ≤ y⟩ ⟨y ≤ z⟩
    by (rule llist-le-trans [of x y z])
  hence ∃ z ∈ Z. x ≤ z using ⟨z ∈ Z⟩ by blast
}

```

```

}
thus  $X \leq Z$  unfolding trace-set-prefix-def by blast
qed

```

8.2 Theorem

theorem *theorem-2-onlyif*:

```

fixes  $k :: \text{nat}$ 
assumes  $S\text{-Sys}: S \in \text{Systems}$  and  $KK\text{-KSHP}: KK \in \text{KSHP } k$ 
shows  $\exists K \in \text{KSP } k. ((S \models (KK :: \text{hyperproperty})) \longrightarrow ((k\text{Prod } k \ S) \models K))$ 
proof–
  let  $?K = \text{Saf-from-KSaf } k \ KK$ 
  let  $?MM = \text{Bads-from-KSaf } k \ KK$ 
  let  $?S\text{-}k = k\text{Prod } k \ S$ 
  have  $K\text{-is-safety}: ?K \in \text{KSP } k$  using  $KK\text{-KSHP}$  by (simp add: Saf-from-KSaf-is-safety)

  have  $(S \models (KK :: \text{hyperproperty})) \longrightarrow ((?S\text{-}k) \models ?K)$ 
  proof (rule ccontr)
  {
    assume  $\text{neg}: \neg (S \models (KK :: \text{hyperproperty})) \longrightarrow ((?S\text{-}k) \models ?K)$ 
    hence  $S\text{-Sat-}KK: S \models KK$  by blast
    have  $S\text{-}k\text{-Unsat}: \neg ((?S\text{-}k) \models ?K)$  using neg by blast
    have  $S\text{-in-}KK: S \in KK$ 
      using  $S\text{-Sat-}KK$  unfolding hyperproperty-satisfies-def .
    have  $S\text{-unsub-}K: \neg ?S\text{-}k \subseteq ?K$  using  $S\text{-}k\text{-Unsat}$  unfolding pa-satisfies-def .
    then obtain  $t$  where  $t\text{-st}: t \in ?S\text{-}k \ t \notin ?K$  by blast
    hence  $t \in \text{TraceInf-}K$  unfolding kProd-def by blast
    then obtain  $M \text{ zip-}M$  where  $M\text{-zip-}M\text{-st}: M \in \text{Obs}$ 
       $M \in ?MM$ 
       $\text{zipn } k \ (\text{set-to-llist } M) \ \text{zip-}M$ 
       $\text{zip-}M \leq_k t$ 
    using  $t\text{-st}$  unfolding Saf-from-KSaf-def by blast
    obtain  $T$  where  $T\text{-st}: \text{zipn } k \ (\text{set-to-llist } T) \ t$ 
       $T \in \text{Prop}$ 
       $T \subseteq S$ 
    using  $\langle t \in ?S\text{-}k \rangle$  unfolding kProd-def Systems-def Prop-def by blast
    have  $M\text{-pfx-}T: M \leq T$  using  $\langle \text{zipn } k \ (\text{set-to-llist } T) \ t \rangle$ 
       $\langle \text{zipn } k \ (\text{set-to-llist } M) \ \text{zip-}M \rangle$ 
       $\langle \text{zip-}M \leq_k t \rangle$ 
    by (simp add: unzip-monotonic-wrt-prefix-k)
    hence  $T \notin KK$  using  $\langle M \in ?MM \rangle \langle T \in \text{Prop} \rangle$ 
    unfolding Bads-from-KSaf-def by blast
    have  $T \leq S$  using  $T\text{-st } S\text{-Sys} \langle t \in ?S\text{-}k \rangle$ 
    unfolding trace-set-prefix-def Systems-def kProd-def zipn-def
    by blast
  }

```



```

with  $M\text{-pfx-}T$  have  $M\text{-pfx-}S: M \leq S$ 
  by (rule trace-set-prefix-transitive [of M T S])

  have  $S \in \text{Prop}$  using  $S\text{-Sys}$  unfolding  $\text{Systems-def Prop-def}$  by blast
  have  $S \notin KK$  using  $M\text{-zip-}M\text{-st } M\text{-pfx-}S \langle S \in \text{Prop} \rangle$  unfolding  $\text{Bads-from-KSaf-def}$ 
by blast
  with  $S\text{-in-}KK$  have False by simp
}
thus  $\neg (S \models KK \longrightarrow k\text{Prod } k \ S \models ?K) \Longrightarrow \text{False}$  by assumption
qed
thus  $\exists K \in KSP \ k. S \models KK \longrightarrow k\text{Prod } k \ S \models K$ 
  using  $K\text{-is-safety}$  by blast
qed

```

theorem *theorem-2-if:*

```

fixes  $k :: \text{nat}$ 
assumes  $S\text{-Sys}: S \in \text{Systems}$  and  $KK\text{-KSHP}: KK \in KSHP \ k$ 
shows  $\exists K \in KSP \ k. (((k\text{Prod } k \ S) \models K) \longrightarrow (S \models (KK :: \text{hyperproperty})))$ 
proof –
  let  $?K = \text{Saf-from-KSaf } k \ KK$ 
  let  $?M = \text{Bads-from-KSaf } k \ KK$ 
  let  $?S\text{-}k = k\text{Prod } k \ S$ 
  have  $K\text{-is-safety}: ?K \in KSP \ k$  using  $KK\text{-KSHP}$  by (simp add: Saf-from-KSaf-is-safety)
  have  $((?S\text{-}k \models ?K) \longrightarrow (S \models (KK :: \text{hyperproperty})))$ 
  proof (rule ccontr)
    { assume  $\text{neg}: \neg (((?S\text{-}k) \models ?K) \longrightarrow (S \models (KK :: \text{hyperproperty})))$ 
      hence  $?S\text{-}k \subseteq ?K$  unfolding  $\text{pa-satisfies-def}$  by simp
      have  $\neg (S \models KK)$  using neg by simp
      have  $S \in \text{Prop}$  using  $S\text{-Sys}$  unfolding  $\text{Prop-def Systems-def}$  by blast
      hence  $S \notin KK$  using  $\neg (S \models KK)$ 
        unfolding  $\text{hyperproperty-satisfies-def}$  by simp

      hence
         $\exists M \in \text{Obs}. M \leq S \wedge \text{card } M = k \wedge$ 
           $(\forall T' \in \text{Prop}. M \leq T' \longrightarrow T' \notin KK)$ 
        using  $\langle S \in \text{Prop} \rangle KK\text{-KSHP}$  unfolding  $KSHP\text{-def kshp-def}$ 
        by blast

        then obtain  $M$  where  $M\text{-st}: M \leq S \ \text{card } M = k \ M \in \text{Obs}$ 
           $\forall T' \in \text{Prop}. M \leq T' \longrightarrow T' \notin KK$  by blast

        have  $\exists m. \text{zipn } k \ (\text{set-to-llist } M) \ m$ 
          using  $\langle M \in \text{Obs} \rangle$  by (simp add: zip-of-Obs-exists [of M k])
        then obtain  $m$  where  $m\text{-st}: \text{zipn } k \ (\text{set-to-llist } M) \ m$  by blast

        obtain  $s$  where  $s \in ?S\text{-}k \ m \leq_k s$ 
          using  $\langle M \in \text{Obs} \rangle \langle S \in \text{Systems} \rangle m\text{-st} \langle M \leq S \rangle$ 
          using  $\text{zip-EX-suffix}$  by best
    }
  }

```

```

have  $M \in ?M$  unfolding Bads-from-KSaf-def using  $M\text{-st}$   $\langle S \in Prop \rangle$ 
  by blast
have  $m \in TraceFin\text{-}K$  unfolding TraceFin-K-def
  using  $m\text{-st}$   $\langle M \in Obs \rangle$  zip-of-Obs-fin
  unfolding zipn-def State-K-def Obs-def psi-fin-def
  by blast
have  $s \notin ?K$  unfolding Saf-from-KSaf-def
  using  $\langle M \in Obs \rangle$   $\langle m \in TraceFin\text{-}K \rangle$   $\langle M \in ?M \rangle$   $\langle zipn\ k\ (set\text{-}to\text{-}l\text{-}list\ M)\ m \rangle$ 
     $\langle m \leq_k s \rangle$  by blast
hence  $\neg ?S\text{-}k \subseteq ?K$  using  $\langle s \in ?S\text{-}k \rangle$  by blast
hence False using  $\langle ?S\text{-}k \subseteq ?K \rangle$  by blast
}
thus  $\neg (kProd\ k\ S \models Saf\text{-}from\text{-}KSaf\ k\ KK \longrightarrow S \models KK) \Longrightarrow False$  by
assumption
qed
thus  $\exists K \in KSP\ k. kProd\ k\ S \models K \longrightarrow S \models KK$ 
  using K-is-safety by blast
qed

end

```

References

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